

SECTION 511 - DRILLED SHAFTS

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3 **511.01 Description.** This section describes installing drilled shafts, including
4 reinforced or unreinforced concrete drilled shafts, with or without belled footings; and
5 performing load tests as indicated.
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7 **511.02 Materials.**
8

9 **(A) Portland Cement Concrete.** Portland cement concrete shall conform
10 to Section 601 - Structural Concrete, except concrete shall have minimum
11 28-day compressive strength of 4,500 pounds per square inch.
12

13 Proportion concrete mix designs to yield properties of high workability,
14 consolidation under self-weight, and resistance to segregation. Use
15 aggregate with maximum nominal size of 3/4 inch. For concrete placed into a
16 water-free borehole, slump range shall be 7 inches +/- 1 inch. For concrete
17 placed under water, slump range shall be 8 inches +/- 1 inch. Minimum
18 concrete slump shall be 4 inches within 4 hours of initial mixing.
19 Superplasticizers will not be allowed.
20

21 **(B) Reinforcing Steel.** Reinforcing steel shall conform to Section 602 -
22 Reinforcing Steel.
23

24 **(C) Casing.** Permanent casing shall conform to ASTM A 252, Grade 3.
25

26 **(D) Cement Grout.** Cement grout used for setting load cells and for filling
27 load cells and voids at bottom of shaft following load test, shall be pumpable
28 and shall have minimum 28-day compressive strength of 5,000 pounds per
29 square inch.
30

31 **(E) Crosshole Sonic Log (CSL) Test Access Tube.** Access tube shall
32 be at least 2-inch inside diameter, Schedule 40 pipe conforming to ASTM A
33 53, Grade A or B, Type E, F, or S.
34

35 Access tube shall have round, regular inside diameter, free of defects
36 and obstructions, including all pipe joints, in order to permit free,
37 unobstructed passage of 1.3-inch maximum diameter source and receiver
38 probes used for crosshole sonic log testing. Access tube shall be watertight,
39 free from corrosion, with clean internal and external faces that ensure good
40 bond between concrete and access tube. Fit access tube with watertight
41 caps on bottom and top.
42

43 When crosshole sonic log testing is indicated in the contract
44 documents, submit manufacturer's certificate of compliance for access tube
45 acceptance.
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511.03

47 511.03 Construction.
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49 (A) **Prequalification of Drilled Shaft Contractor.** At the time of bid,
50 meet requirements of Subsection 102.01 - Prequalification of Bidders and
51 requirements of this subsection as follows:
52

53 (1) **Experience Record.** Submit experience record demonstrating
54 the drilled shaft contractor has successfully completed at least three
55 projects in the last three years, in which drilled shafts of diameter and
56 length similar to those shown in the contract documents were
57 installed. Include in list of projects, names and phone numbers of
58 owner's representatives who can verify the drilled shaft contractor's
59 participation on those projects. Drilled shaft contractor shall have on
60 its payroll, supervisory personnel who have participated in drilled shaft
61 construction, similar to the type proposed, for duration of at least three
62 years within the last 10 years.
63

64 (2) **Examination of Work Site.** Submit signed statement that the
65 drilled shaft contractor has inspected both project site and subsurface
66 information, including soil or rock samples made available in the
67 contract documents.
68

69 (B) **Preconstruction Requirements.**
70

71 (1) **Installation Plan.** No later than 30 days after contract award,
72 submit installation plan that includes the following:
73

74 (a) Name and experience record of drilled shaft
75 superintendent who will be in charge of drilled shaft operations
76 for this project. Drilled shaft superintendent shall have
77 minimum three years experience within the last 10 years in
78 drilled shaft construction similar to type proposed.
79

80 (b) List of proposed equipment, including cranes, drills,
81 augers, bailing buckets, final cleaning equipment, tremies, or
82 concrete pumps, and casing.
83

84 (c) Details of construction operation sequence and shaft
85 construction sequence in bents or groups.
86

87 (d) Details of shaft excavation methods, including proposed
88 drilling and shaft cleanout methods, and excavated material
89 disposal plan.
90

91 (e) Details of methods to ensure shaft stability, including
92 prevention of caving or bottom heave using casings or other
93 means accepted by the Engineer. If casings are to be used,
94 submit dimensions and detailed installation and dewatering

95 procedures for permanent and temporary casings; and removal
96 procedures for temporary casings.

97
98 **(f)** Details of reinforcement placement, including support
99 and centralization methods.

100
101 **(g)** Details of concrete placement, including proposed
102 operational procedures for free fall, tremie, or pumping
103 methods.

104
105 **(h)** Details of required load tests, including equipment,
106 procedures, and recent calibrations for jacks or load cells
107 supplied by the Contractor.

108
109 **(i)** Proposed concrete mix design, including expected
110 strengths at 3, 7, and 28 days. Submit test results of both a
111 trial mix and a slump loss test, conducted by State-accepted
112 testing laboratory using methods specified in Subsection
113 601.03(B) – Design and Designation of Concrete. Tests shall
114 demonstrate that concrete meets 2-hour plasticity requirement
115 at expected ground ambient temperature and at highest
116 expected ambient air temperature (two separate slump loss
117 tests required).

118
119 **(j)** Test results from laboratory measurements of the
120 ultrasonic pulse velocity, performed in accordance with ASTM
121 C 597, on 3-day, 7-day, and 28-day concrete trial mix samples
122 described in Subsection 511.03(B)(1)(i).

123
124 The Engineer will evaluate drilled shaft installation plan for
125 conformance with the contract documents. Within 21 days after plan
126 receipt, the Engineer will notify the Contractor of additional information
127 required, including if applicable, changes necessary to meet
128 requirements of the contract documents. The Engineer will reject
129 parts of installation plan that are unacceptable. Resubmit changes for
130 re-evaluation. Procedural acceptance given by the Engineer shall be
131 subject to trial in the field.

132
133 **(2) Protection of Existing Structures.** Prevent damage to
134 existing structures and utilities. Include the following preventative
135 measures:

136
137 **(a)** Select construction methods and procedures that will
138 prevent caving of shaft excavation.

139
140 **(b)** Monitor and control vibrations from construction
141 activities, such as driving casing or sheeting, or drilling shaft.

142

143 **(3) Trial Shaft Installation.** Demonstrate adequacy of proposed
144 methods and equipment by successfully constructing an unreinforced
145 trial shaft, of each shaft diameter to be installed, in accordance with
146 contract documents. Position trial shaft away from production shafts,
147 at location shown in the contract documents, or as ordered by the
148 Engineer. Drill trial shaft to maximum depth shown in the contract
149 documents. When bellling is required in the contract documents, ream
150 bells at specified trial shaft holes to establish feasibility of bellling in a
151 specific soil stratum.

152
153 If the Engineer rejects trial shaft due to deviation from
154 requirements of the contract documents, alterations to proposed
155 methods and equipment may be required. Drill additional trial holes to
156 demonstrate adequacy of altered construction methods or equipment
157 at no increase in contract price or contract time. Once the Engineer
158 has accepted trial shaft and has authorized construction of production
159 shafts, do not deviate from accepted methods or equipment without
160 the Engineer's written approval.

161
162 Fill trial shaft hole with unreinforced concrete, using method
163 proposed for production shaft construction. Cut off concreted trial
164 shafts 2 feet below finished grade and leave in place. Restore
165 disturbed areas at trial shaft sites to original condition, unless
166 otherwise specified.

167
168 **(C) Construction Requirements.**

169
170 **(1) Construction Sequence.** Excavate for structure footings
171 supported by drilled shafts and place embankment fills before drilling
172 shaft. Do not cap drilled shafts before placing fills as near to final
173 grade as possible. Leave ungraded only those areas needed to
174 construct caps.

175
176 Before placing footing concrete, repair disturbances to footing
177 area caused by shaft installation. Maintain minimum 12-foot, edge-to-
178 edge separation between new shaft to be drilled and existing open
179 shaft.

180
181 **(2) Construction Methods.** Construct drilled shafts and bell
182 footings using the following methods, in accordance with the contract
183 documents.

184
185 **(a) Dry Construction Method.** The dry method includes
186 drilling shaft excavation, removing accumulated water and
187 loose material from the excavation, placing reinforcing cage,
188 and concreting shaft in a dry excavation. Dry excavation is
189 defined as an excavation where maximum depth of water does
190 not exceed 3 inches.

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(b) Wet Construction Method. This method includes using water to maintain stability of shaft perimeter while advancing excavation to final depth, and placing reinforcing cage and shaft concrete.

Reuse drilling water only if permitted by the Engineer and contingent upon control of unit weight to no more than 62.5 pounds per cubic foot and Marsh funnel viscosity to not more than 27 seconds per quart, at the time drilling water is introduced into the borehole.

For drilled shafts in open water areas, extend exterior casings from above water elevation into the ground. Install exterior casing in a manner that will produce a positive seal at bottom of casing, such that no intrusion or extrusion of water or other materials occurs into or from shaft excavation.

(c) Casing Construction Method. The temporary casing method may be used when dry or wet construction methods are inadequate. Use permanent casing method only when required by the contract documents or authorized by the Engineer. Casing may be placed either in a predrilled hole or advanced by twisting, driving, or vibrating, before cleaning casing.

(3) Excavation.

(a) General. Excavate shafts at locations, and to dimensions shown in the contract documents. When material encountered during excavation differs from that anticipated in drilled shaft design, adjust shaft tip elevation, after acceptance by the Engineer.

1. Construction Method Log. Maintain construction method log during shaft excavation. Submit method log within 24 hours of shaft drilling completion. Include the following information:

- a. Excavation diameters.
- b. Equipment used, excavation rate, and difficulties encountered.
- c. Description and approximate top and bottom elevations of each type of soil or rock material encountered.

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d. Elevation and approximate rate of any seepage or groundwater.

e. Remarks.

2. Cofferdams. On projects with cofferdams, provide certified diver to inspect cofferdam conditions when the contract documents require a concrete seal. Before placing concrete seal, inspect cofferdam interior periphery. Inspect each sheeting indentation and around each drilled shaft.

3. Dispose of excavated material as specified in Section 203 - Excavation and Embankment.

4. When shown in the contract documents, excavate bells, by mechanical methods, to form bearing area of the size and shape in accordance with the contract documents.

5. Furnish drilled shaft concrete in excess of theoretical volume required to fill excavations for bells and shafts dimensioned in the contract documents.

6. Do not permit workers to enter shaft excavation unless the following conditions are met:

a. Suitable casing is in place.

b. Water level is lowered and stabilized.

c. Accepted safety equipment and procedures are provided and complied with.

(b) Excavation and Drilling Equipment. Furnish excavation and drilling equipment to excavate hole to maximum diameter and to a depth of ten feet or 20 percent beyond depths shown in the contract documents, whichever is greater.

1. Special Drilling Equipment. When conventional earth augers or underreaming tools cannot be used for drilling, provide special drilling equipment, including rock core barrels, rock tools, air tools, and blasting materials to construct shaft excavation to size and depth required. Blasting will be allowed only if specified in the contract documents.

287 **2. Sidewall Overreaming.** Overream sidewall
 288 when hole sidewall has softened due to excavation
 289 methods or swelled due to delays in concreting. Ensure
 290 minimum overreaming dimension of 1/2 inch and
 291 maximum of 3 inches. Overream with grooving tool or
 292 overreaming bucket. The dimension and elevation of
 293 sidewall overreaming shall be as ordered by the
 294 Engineer. Overream sidewall and place additional shaft
 295 concrete at no increase in contract price or contract
 296 time.

297
 298 **(c) Unclassified Excavation.** When the contract
 299 documents specifies unclassified shaft excavation, provide
 300 necessary equipment to remove and dispose of materials
 301 encountered in drilled shaft excavation. The Engineer will not
 302 pay separately for excavation of materials of different densities
 303 and character, or for employment of special excavation tools
 304 and procedures. The Engineer will pay for obstruction
 305 separately.
 306

307 **(d) Coring Samples (Shaft Excavation).** Take soil
 308 samples or rock cores when shown in the contract documents.
 309 Extract soil samples with split or undisturbed sample tube. Cut
 310 rock cores with double or triple-tube core barrel accepted by
 311 the Engineer.
 312

313 When shaft excavation is near completion, core to
 314 minimum of 10 feet below bottom of drilled shaft excavation.
 315 When ordered by the Engineer, extend depth of coring up to
 316 total depth of 20 feet. Log exploratory borings, measure rock
 317 core and standard penetration test samples, identify visually,
 318 and describe in boring log. Place samples in containers
 319 identified by shaft location, elevation, and project number.
 320 Deliver samples and associated boring logs to the Engineer
 321 within 24 hours after completing exploration.
 322

323 The Engineer will inspect samples or cores and
 324 determine required excavation depth. Furnish two copies of
 325 typed final boring log to the Engineer when shaft excavation is
 326 accepted.
 327

328 **(4) Casings.**

329
 330 **(a) General.** Furnish steel casings that are smooth,
 331 watertight, and of ample strength to withstand both handling
 332 and driving stresses, pressure of concrete during placement,
 333 and surrounding earth pressures. The inside diameter of
 334 casing shall be no less than specified shaft diameter. The

335 Engineer will not authorize extra compensation for concrete
336 required to fill oversized casing or oversized excavation.

337
338 When shaft extends above ground or through a body of
339 water, shaft may be formed with removable casing, unless
340 permanent casing is specified. For permanent casing, after
341 curing concrete, remove portion of metal casing between an
342 elevation two feet below lowest water elevation and top of shaft
343 elevation. Remove casing carefully so that process will not
344 damage concrete. When casing needs to be removed after
345 concrete cures in open water, design and submit special
346 casing system for acceptance. When concrete attains
347 sufficient strength, casing may be removed provided:

- 348
- 349 1. Concrete curing continues for the full 72-hour
350 period.
 - 351 2. Shaft concrete is not exposed to salt water or
352 moving water for 7 days.
 - 353 3. Concrete reaches compressive strength of at
354 least 2,500 pounds per square inch.

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358 **(b) Temporary Casing.** Remove temporary casing before
359 completing drilled shaft concrete placement. Telescoping and
360 overreaming to beyond outside casing diameter may be
361 required to install casing.

362
363 When choosing to remove and replace casing with
364 longer or larger diameter casing through caving soils, stabilize
365 excavation with backfill before installing new casing.

366
367 Before withdrawing casing, ensure level of fresh
368 concrete in casing is the higher of the following: 5 feet
369 minimum above hydrostatic water level; or level of drilling fluid
370 outside the casing.

371
372 While withdrawing casing, maintain adequate level of
373 concrete within casing so that fluid trapped behind casing is
374 displaced upward and discharged at ground surface without
375 contaminating or displacing shaft concrete.

376
377 The Engineer will consider drilled shaft defective when
378 temporary casing becomes bound or fouled during shaft
379 construction and cannot be removed. Correct such defective
380 shafts using methods accepted by the Engineer, including
381 removing shaft concrete and extending shaft deeper; providing
382 replacement shaft; or providing straddle shafts to compensate

383 for capacity loss. Perform corrective measures, including
 384 redesign of footings caused by defective shafts, at no increase
 385 in contract price or contract time. The Engineer will not pay for
 386 defective casing remaining in place.

387
 388 **(c) Permanent Casing.** Ensure casing is continuous
 389 between top and bottom casing elevations. After completing
 390 installation, cut off permanent casing at prescribed elevation.
 391 Complete shaft by installing required reinforcing steel and
 392 concrete in casing.

393
 394 When special temporary casings are in the contract
 395 documents or specified in writing by the Engineer, maintain
 396 temporary outer casing alignment with permanent inner casing.
 397 Provide watertight seal between the two casings during
 398 excavation and concreting operations.

399
 400 **(5) Slurry.** Drilling slurry will not be allowed.

401
 402 **(6) Excavation Inspection.** Provide equipment for checking
 403 dimensions and alignment of each permanent shaft excavation. After
 404 cleaning, measure final shaft depth with weighted tape.

405
 406 Ensure a minimum of 50 percent of each shaft base has less
 407 than 1/2 inch of sediment at the time concrete is placed. Ensure
 408 maximum sediment depth or debris on shaft base does not exceed
 409 1-1/2 inches. The Engineer will visually inspect dry shafts for
 410 cleanliness. For wet shafts, the Engineer will use inspection methods
 411 deemed appropriate.

412
 413 **(7) Reinforcing Steel Cage Construction and Placement.**
 414 Assemble and place reinforcing steel cage immediately after the
 415 Engineer inspects and accepts shaft excavation and before placing
 416 concrete. Reinforcing steel cage includes longitudinal bars, ties, cage
 417 stiffener bars, spacers, centralizers, and other appurtenances
 418 necessary to complete cage.

419
 420 Tie and support shaft reinforcing steel such that reinforcing
 421 steel placement conforms to allowable tolerances as specified in
 422 Subsection 511.03(C)(10) – Construction Tolerances. Use concrete
 423 spacers at sufficient intervals (near bottom and at intervals not
 424 exceeding 10 feet along shaft length) to ensure concentric spacing for
 425 entire cage length. Use minimum of four spacers, equally spaced
 426 around circumference, at each vertical interval. Construct spacers of
 427 material accepted by the Engineer, equal in quality and durability to
 428 concrete specified for the shaft. Furnish spacers of adequate
 429 dimension to ensure a minimum 3-inch space between outer portion
 430 of reinforcing cage and side of excavated hole or casing. Provide

431 cylindrical concrete bottom supports accepted by the Engineer to
432 maintain proper distance between bottom of cage and base of shaft
433 excavation.

434
435 Check top of steel cage elevation before and after placing
436 concrete. When reinforcing steel placement does not meet specified
437 tolerances, correct to required tolerances. Do not construct additional
438 shafts until reinforcing steel cage support method has been modified
439 and accepted.

440
441 When bottom of constructed shaft elevation is lower than
442 shown in the contract documents, extend at least half of the
443 longitudinal bars required in upper portion of shaft, to the shaft bottom.
444 Continue tie bars for the extra depth, spaced 2 feet on center.
445 Extend stiffener bars to final depth. Use lap splices or unspliced bars
446 of proper length. Welding of reinforcing steel will not be allowed.

447
448 **(8) CSL Test Access Tube Installation.** When crosshole sonic
449 log testing is specified in the contract documents, furnish and install
450 access tubes in all drilled shafts, except those constructed in the dry
451 or as otherwise indicated.

452
453 Securely attach access tubes to interior of shaft reinforcing
454 steel cage. Place access tubes around shaft, inside spiral or hoop
455 reinforcing steel and 3 inches clear of vertical reinforcing steel, at
456 uniform spacing not exceeding 2 feet 9 inches, unless otherwise
457 indicated in the contract documents, measured along circle passing
458 through centers of access tubes. If vertical reinforcing steel is not
459 bundled and each bar is not more than 1 inch in diameter, place
460 access tubes 2 inches clear of vertical reinforcing steel. If minimum
461 clearances as specified herein cannot be met due to close spacing of
462 vertical reinforcing steel, bundle access tubes with vertical reinforcing
463 steel.

464
465 Install access tubes in straight alignment and as near to parallel
466 to vertical axis of reinforcing steel cage as possible. Access tubes
467 shall extend from bottom of reinforcing steel cage to at least 2 feet
468 above either top of continuous concrete placement operation or top of
469 shaft, whichever is higher. Make splice joints in access tubes
470 watertight if joints are required to achieve full-length access tubes.
471 Clear access tubes of debris and extraneous materials before
472 installing access tubes. Protect access tubes from damage during
473 shaft reinforcing steel cage installation and concrete placement.

474
475 Fill access tubes with potable water as soon as possible after
476 concrete placement (but no later than one day after). After filling,
477 reinstall top watertight caps.

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(9) Concrete Placement.

(a) General. Place concrete through a tremie, concrete pump, or drop chute, using methods as described below.

Unless otherwise authorized by the Engineer, place concrete immediately after placing reinforcing steel.

Place concrete in one continuous operation from bottom to top of shaft. Continue placing concrete after shaft excavation is full until concrete with no laitance or soil contamination is visible at top of shaft.

Elapsed time from beginning to completion of shaft concrete placement shall not exceed 2 hours. Adjust admixtures accepted by the Engineer so that concrete remains in a workable, plastic state throughout 2-hour placement limit.

(b) Monitoring Concrete Volume. For each drilled shaft, prepare and submit, the next working day after concrete placement has been completed, the following:

1. A chart made up after excavation has been completed and accepted by the Engineer and before concrete placement has commenced, indicating depth of hole plotted with theoretical volume of concrete required to fill hole. Plot concrete elevation (surface) along vertical axis and concrete volume along horizontal axis.

2. As concrete is being placed, measure concrete surface at an interval of approximately each cubic yard of concrete discharged, unless otherwise ordered by the Engineer. Plot concrete volume actually placed at each elevation point.

3. Keep records of steel and concrete movement to document the following conditions:

a. When removing temporary casing, elevation of the top of reinforcing cage did not rise more than 2 inches or drop more than 3 inches from its original elevation.

b. As casing is extracted, static level of fluid concrete did not rise.

(c) Concreting by Tremie. Tremie consists of a tube of

527 sufficient length, weight, and diameter to discharge concrete at
528 the shaft base. Tremie shall not contain aluminum parts that
529 will come in contact with concrete. Use tremie with inside
530 diameter at least 6 times the maximum size of aggregate used
531 in concrete mix and not less than 10 inches. Ensure that inside
532 and outside surfaces of the tremie are clean and smooth.
533 Tremie wall shall be thick enough to prevent crimping or sharp
534 bends.

535
536 Use watertight tremie for wet excavation concrete
537 placement. Begin underwater placement after placing tremie
538 at shaft base elevation. Use valves, bottom plates, or plugs to
539 separate drilling water from fluid concrete. Begin concrete
540 discharge within one tremie diameter of the base.

541
542 Remove plugs from excavation or use plugs made from
543 material accepted by the Engineer that will prevent shaft
544 defect, if not removed.

545
546 Discharge end of tremie shall permit free radial flow of
547 concrete during placement. After starting flow of concrete,
548 keep tremie discharge end immersed at least 5 feet below fluid
549 concrete surface. Place concrete in a continuous flow.
550 Maintain a positive head of concrete in tremie at all times.

551
552 The Engineer will consider shaft defective and will reject
553 shaft, if at any time during concrete placement, the tremie
554 discharge end is removed from fluid concrete column and
555 concrete is discharged onto rising concrete surface. If shaft is
556 rejected, remove reinforcing cage, concrete, and portion of
557 sidewall, as ordered by the Engineer, and reconstruct shaft.
558 The Engineer will not pay for defective shaft or shaft removal.

559
560 **(d) Concreting by Pump.** Use pump and discharge line of
561 sufficient capacity, length, weight, and diameter to discharge
562 concrete at the shaft base elevation. Pump and discharge line
563 shall not contain aluminum parts that will come in contact with
564 concrete. Furnish discharge line with minimum diameter of 4
565 inches and watertight joints. Do not begin concrete placement
566 until discharge line orifice is at shaft base elevation.

567
568 For wet excavations, use plug to separate concrete from
569 fluid in the hole until pumping begins. Remove plugs from
570 excavation or use plugs made from material accepted by the
571 Engineer that will prevent shaft defect, if not removed.

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573 Keep pump discharge line orifice at least five feet below
574 fluid concrete surface. When lifting discharge line during
575 concreting, reduce line pressure temporarily, until discharge
576 orifice has been repositioned at a higher level in the
577 excavation.

578
579 The Engineer will consider shaft defective and will reject
580 shaft, if at any time during concrete placement, the discharge
581 line is removed from fluid concrete column and concrete is
582 discharged onto rising concrete surface. If shaft is rejected,
583 remove reinforcing cage, concrete, and portion of sidewall, as
584 ordered by the Engineer, and reconstruct shaft. The Engineer
585 will not pay for defective shaft or shaft removal.

586
587 **(e) Concreting by Drop Chute.** Free-fall placement of
588 concrete will be allowed in dry excavations only. Use drop
589 chute to direct free-fall concrete placement. Drop chute
590 consists of a smooth tube of one-piece construction or sections
591 that may be added and removed. Drop chute shall not contain
592 aluminum parts that will come in contact with concrete. Place
593 concrete through a hopper at top of tube or through side
594 openings, as drop chute is removed from shaft during concrete
595 placement. Support drop chute so that free-fall of concrete,
596 measured from bottom of chute, is less than 25 feet.

597
598 Ensure concrete placed by drop chute falls directly to
599 base without contacting reinforcing steel cage or shaft sidewall.
600 When concrete placement causes shaft excavation to cave or
601 slough, or when concrete strikes reinforcing steel cage or
602 sidewall, reduce height of free fall or reduce rate of concrete
603 flow into excavation. When concrete placement exceeds 25-
604 foot free fall height limit, use tremie or concrete pump to place
605 concrete.

606
607 **(10) Construction Tolerances.** Apply the following construction
608 tolerances to drilled shafts:

609
610 **(a)** Construct drilled shaft within 1/12 of shaft diameter or 3
611 inches, whichever is less, of Plan location, measured in a
612 horizontal plane at Plan top of shaft elevation.

613
614 **(b)** Limit alignment variation of vertical shaft excavation
615 from alignment indicated in the contract documents to no more
616 than 1/4 inch per foot of depth. Limit alignment variation of
617 battered shaft excavation from the prescribed batter to no more
618 than 1/2 inch per foot of depth.

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620 (c) After placing concrete, ensure top of reinforcing steel
621 cage is no more than 6.0 inches above and no more than 3.0
622 inches below position indicated in the contract documents,
623 unless otherwise accepted by the Engineer.
624

625 (d) Casing diameters shown in the contract documents refer
626 to outside diameter (OD) dimensions. When accepted by the
627 Engineer, a casing larger in diameter than shown in the
628 contract documents may be provided to facilitate meeting this
629 requirement. When using a series of telescoping casings, size
630 casing to maintain specified shaft diameters.
631

632 (e) Excavate bearing area of bells to bearing area indicated
633 in the contract documents, as a minimum. Limit maximum bell
634 diameter to three times specified shaft diameter. When
635 accepted by the Engineer, other dimensions indicated in the
636 contract documents for bells may vary.
637

638 (f) Ensure top of shaft elevation is within 1.0 inch of top of
639 shaft elevation indicated in the contract documents.
640

641 (g) Use American Pipe Institute tolerances applicable to
642 regular steel pipe for casing dimension tolerances.
643

644 (h) Use excavation equipment and methods to ensure that
645 completed shaft excavation will have a flat bottom. Make
646 cutting edges of excavation equipment normal to vertical axis
647 of the shaft, within a tolerance of $\pm 3/8$ inch per foot of diameter.
648 Supply as-built drawings.
649

650 The Engineer will reject drilled shaft excavations that cannot be
651 completed within required tolerances. Correct unacceptable drilled
652 shaft excavations by using a combination of the following methods:
653 overdrill shaft excavation to a larger diameter to permit accurate
654 placement of reinforcing steel cage with required minimum concrete
655 cover; increase number, size, or length of reinforcing steel bars;
656 enlarge bearing area of bell excavation within allowed tolerances.
657

658 Acceptance of correction procedures will be based on an
659 analysis of the effect of misalignment and improper positioning.
660 Submit redesign drawings and computations signed by a Hawaii
661 Licensed Professional Structural Engineer and Hawaii Licensed
662 Professional Civil Engineer who specializes in Geotechnical
663 Engineering. Correct out-of-tolerance drilled shaft excavations,
664 including engineering analysis and redesign, at no increase in contract
665 price or contract time.
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667 (11) **Drilled Shaft Load Tests.**
668

669 (a) **General.** When indicated in the contract documents,
670 the Contractor shall perform load tests in the presence of the
671 Engineer. Notify the Engineer of the load-testing schedule
672 within 30 days of contract award.
673

674 Complete load tests before constructing production
675 drilled shafts. Allow 10 working days after completing last load
676 test for the Engineer to provide estimated drilled shaft tip
677 elevations for production shafts.
678

679 Load cells will be required for drilled shaft load tests.
680 Ensure load cells are sized to measure maximum load applied
681 to shaft. Equip load cell with readout device. Before load
682 testing begins, submit certificate from certified testing
683 laboratory that shows load cell calibration within the preceding
684 six months, for stages of loading and unloading. Load cell
685 accuracy shall be within 1 percent of the true load.
686

687 After completing tests, cut off test and reaction shafts at
688 an elevation 2 feet below finished ground surface. The
689 removed portions of the shafts shall remain property of the
690 Contractor.
691

692 (b) **Static Load Tests.** Obtain services of a Hawaii
693 Licensed Professional Engineer with satisfactory load test
694 experience to conduct static load test in accordance with the
695 contract documents; record data; and submit reports of test
696 results.
697

698 Load test shaft to maximum test load equal to three
699 times the design service load, or to plunging failure, whichever
700 occurs first. Plunging failure is defined as shaft head deflection
701 equal to 5 percent of shaft diameter.
702

703 Begin static load testing only after concrete has attained
704 a compressive strength of 3,400 pounds per square inch. Load
705 test drilled shafts in the order specified by the Engineer.
706 Complete static load tests as described in ASTM D 1143
707 (Compression Test) quick test method, and ASTM D 3966
708 (Lateral Test), or as otherwise modified. Supply equipment
709 necessary to conduct static test. Design loading frame
710 apparatus to carry maximum load plus adequate safety factor.
711
712

(c) **Bi-directional Load Tests.**

712
713
714 **1. Instrumentation.** Furnish instrumentation
715 including strain gages, extensometers, load cells, and
716 other equipment specified in the contract documents to
717 measure movement of load cell top and bottom plates,
718 top of shaft, and strain at indicated locations within
719 shaft.

720
721 Instrument load test shafts with strain gages
722 (either sister bars or embedment strain gages), two
723 each side at top, bottom, and 10-foot intervals along test
724 shaft length; and rod extensometers at same intervals
725 as strain gages and as indicated in the contract
726 documents.

727
728 Extensometers shall be minimum 1/4-inch
729 diameter stainless steel solid rods that couple solidly by
730 screw joints and consist of straight, unbent, undamaged
731 sections. Rods shall be positively fixed at extensometer
732 tips by an anchor that is grouted or otherwise firmly
733 fixed to shaft or load cell. Extensometers shall be
734 attached to anchor by reversed threaded screws,
735 bayonet, or other means that allow recovery and reuse
736 of most of the rods. Extensometers shall be encased in
737 1/2-inch PVC conduit and be free to move
738 independently of shaft throughout their full length. Tie
739 conduit to reinforcing steel or pressure pipe at maximum
740 5-foot intervals.

741
742 Furnish new, expendable instrumentation to be
743 cast into drilled shafts, from manufacturer with at least
744 five years experience, within last 10 years,
745 manufacturing such instrumentation. Instrumentation
746 shall be calibrated or certified as accurate and
747 operational prior to installation. Submit previous field
748 experience records documenting that instrumentation to
749 be used is capable of remaining calibrated and
750 operational for duration of load test. Strain gages shall
751 be capable of measuring temperature, should thermal
752 correction need to be applied to readings.

753
754 Furnish flat, hydraulically expanded load cells, 30
755 inches in diameter, capable of applying load of at least
756 500 tons in each direction, as indicated in the contract
757 documents. Cells shall be accurate to within 1 percent,
758 expand uniformly, and capable of being installed and
759 operated as specified in the contract documents. Cells

760 shall have provisions for monitoring displacement of
761 both upper and lower plates.
762

763 **2. Load Test Requirements.** The bi-directional
764 load test separately tests shear resistance and end
765 bearing of drilled shaft by loading shaft in two directions
766 (upward-shear resistance, downward-end bearing),
767 using a hydraulically expanded load cell, or by loading
768 shaft using other methods, accepted by the Engineer,
769 capable of full separation of shear and end bearing
770 components.
771

772 The Contractor shall obtain the services of a
773 specialty contractor with minimum three years bi-
774 directional load test experience, accepted by the
775 Engineer, to be responsible for instrumenting shaft(s),
776 conducting bi-directional load testing in accordance with
777 the contract documents, recording all data, and
778 submitting test results.
779

780 Unless otherwise specified in the contract
781 documents, load test shaft to capacity of load cell or to
782 plunging failure, whichever occurs first.
783

784 The Contractor shall furnish equipment required
785 to install load cell, conduct load test, and remove load
786 test apparatus as required. Use the following load test
787 set-up procedures:
788

789 **a.** In suitable area provided by the
790 Contractor adjacent to test shaft, assemble load
791 cells, piping, and other attachments and prepare
792 for installation under direction of load test
793 specialty contractor. While reinforcing steel cage
794 is being constructed, place load cell assembly at
795 bottom of cage.
796

797 **b.** Advance test shaft to depth as specified in
798 the contract documents.
799

800 **c.** Clean bottom of shaft excavation after
801 drilling is complete.
802

803 **d.** Place concrete at bottom of shaft to a
804 level even with bottom of load cell. Minimum
805 concrete thickness shall be one-half of difference
806 between shaft diameter and load cell diameter.
807

- 808 e. Pump cement grout to bottom of shaft to
809 allow seating of load cell.
810
811 f. Immediately after placing grout for load
812 cell seating, install reinforcing steel cage
813 assembly and load cell, under direction of load
814 test specialty contractor and the Engineer.
815 Ensure that load cell is seated firmly in grout
816 bed. Prevent damage to instrumentation during
817 installation of reinforcing steel cage assembly.
818 Alternatively, to seat cell, load cell and support
819 system may be lowered to near bottom of shaft
820 and center pipe from cell may be used to grout
821 space between cell and shaft bottom.
822
823 g. After load cell installation, place shaft
824 concrete as specified in the contract documents
825 for production shafts.
826

827 After completion of bi-directional load testing,
828 remove equipment, material, and waste that are not part
829 of finished structure. Grout load cell through piping
830 provided in load cell assembly.
831

832 **(12) Integrity Testing.** Test drilled shafts for soundness and
833 integrity, as specified in the contract documents. Perform specified
834 testing as follows:
835

836 **(a) Nondestructive Testing (CSL Testing).**
837

838 1. When CSL testing is specified in the contract
839 documents, the Engineer or the Engineer's authorized
840 representative will perform CSL testing and analysis,
841 including 3-dimensional tomographic images on all
842 completed shafts designated by the Engineer for
843 testing. Notify the Engineer at least seven days prior to
844 time when drilled shaft concrete will have cured
845 sufficiently (minimum three days) to allow CSL testing.
846 CSL testing will be conducted only after concrete has
847 cured for minimum of three days and within 45 days of
848 concrete placement.
849

850 2. Provide independent, stable, 110 volt, 55-60
851 hertz, AC power supply for CSL testing.
852

853 3. After placing shaft concrete and before beginning
854 CSL testing, inspect access tubes and pass test probes
855 through access tubes. Replace each access tube that

856 test probe cannot pass through, at no increase in
857 contract price or contract time, with 2-inch diameter hole
858 cored through concrete for entire shaft length. Unless
859 otherwise directed by the Engineer, locate cored hole
860 approximately 6 inches inside shaft reinforcing steel,
861 without damaging steel. Log descriptions of inclusions
862 and voids in cored holes and submit copy of log.
863 Identify as to location and preserve findings from cored
864 holes. Make these findings available for inspection by
865 the Engineer.

866
867 **4.** Prior to CSL testing, remove caps or plugs at top
868 of access tubes. When removing caps or plugs, do not
869 hammer or apply excess torque or other stresses to
870 access tubes that could break bond between access
871 tubes and concrete. If debonding is indicated by CSL
872 test results, submit alternative test method to determine
873 concrete integrity in debonded region. After the
874 Engineer's acceptance, conduct alternative test method
875 at no increase in contract price or contract time.

876
877 **5.** Conduct CSL testing on minimum of 50 percent
878 of shafts in which CSL test access tubes have been
879 installed. For bridge shafts, apply 50 percent testing
880 rate on a pier-by-pier basis. Test a minimum of one
881 shaft per pier. For retaining wall shafts, apply 50
882 percent testing rate to each wall. Conduct CSL testing
883 on first shaft constructed at each bridge and retaining
884 wall. After initial testing, the Engineer will determine if
885 further CSL testing will be required, and if so, will
886 identify those shafts to be tested.

887
888 **6.** Submit results and analysis of CSL testing for
889 each shaft tested. The Engineer will determine final
890 acceptance of each tested shaft, based on CSL test
891 results and analysis; and will provide response to
892 Contractor within three working days after receiving test
893 results and analysis submittal.

894
895 **7.** The Engineer may require that additional shafts
896 be tested. If additional testing indicates the presence of
897 defects in the additional shafts, the Contractor shall
898 assume testing and delay costs resulting from additional
899 testing. If additional testing indicates no defects,
900 additional testing and delay costs will be the State's
901 responsibility; and if shaft construction is on critical path
902 of the Contractor's schedule, a time extension equal to
903 the delay created by additional testing will be granted.

511.03

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8. Submit remedial action plan for all shafts determined by the Engineer to be unacceptable, including calculations and working drawings necessary to support modifications to shaft dimensions or layout required by the contract documents. Begin repair operations only after the Engineer accepts remedial action plan.

9. At the discretion of the Engineer, coring, as specified in Subsection 511.03(C)(12)(b) – Coring, to determine shaft integrity will be required.

10. After tests are completed, dewater and completely fill with grout, all access tubes and cored holes. Use grout tubes that extend to bottom of tube or hole to fill access tubes or cored holes.

(b) Coring.

1. Core inspection holes on 5 percent of production shafts.

2. Core a 3-inch diameter vertical hole, centrally located, throughout full depth of drilled shafts designated by the Engineer. Fill cored holes with non-shrink grout of the same minimum strength as drilled shaft.

(13) Corrective Action. Fill with grout, all voids or separations revealed by integrity testing, using the following procedures:

(a) Core additional holes, as ordered by the Engineer, to define flow path to the void. Place grout tube in cored hole with its tip adjacent to the void. Seal hole and inject cement grout at a gage pressure of 10 pounds per square inch. Continue injection until refusal or until gage reads 15 pounds per square inch, whichever occurs first.

(b) Use one part Type I portland cement and two and one-half parts sand (by volume), from which all sizes larger than No. 8 have been removed, and with just sufficient water to provide fluidity and compressive strength equal to compressive strength of drilled shaft concrete.

946 (c) After inspection and after all voids have been filled and
 947 accepted by the Engineer (proof coring may be required, at the
 948 discretion of the Engineer), fill cored holes completely with
 949 specified grout.

950
 951 (d) Submit alternative corrective methods for review and
 952 acceptance prior to use.

953
 954 (e) Perform corrective actions at no additional increase in
 955 contract price or contract time.

956
 957 **(14) Revised Concreting Procedure.** If voids or inclusions are
 958 found through testing, submit revised concreting procedure for new
 959 shafts. Indicate steps to be taken to eliminate such voids in the future.
 960 Continuation of shaft concreting will not be allowed until the Engineer
 961 has accepted revised procedure. Prepare and submit revised
 962 concreting procedure at no additional increase in contract price or
 963 contract time and with no extension of time allowed.

964

965 **511.04 Measurement.**

966

967 **(A)** Furnishing drilled shaft drilling equipment; and furnishing
 968 instrumentation and collecting data will be paid on a lump sum basis.
 969 Measurement for payment will not apply.

970

971 **(B)** The Engineer will measure obstruction per hour in accordance with the
 972 contract documents. Once the Engineer authorizes compensation for
 973 obstruction removal, duration of obstruction removal, including time required
 974 for obstruction disposal, will be measured for payment. Depth of obstruction
 975 removed will be subtracted from total depth measured for payment under
 976 other applicable drilled shaft excavation pay items.

977

978 **(C)** The Engineer will measure load test per each in accordance with the
 979 contract documents.

980

981 **(D)** The Engineer will measure drilled shaft per linear foot. The Engineer
 982 will compute length between plan top of shaft elevation and final bottom of
 983 shaft elevation.

984

985 **(E)** The Engineer will measure standard excavation per linear foot along
 986 shaft centerline, including bells.

987

988 **(F)** The Engineer will measure special excavation per linear foot along
 989 shaft centerline, including bells, from elevation authorized by the Engineer as
 990 the accepted shaft bottom elevation.

991

992

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992 (G) The Engineer will measure unclassified shaft excavation per linear
993 foot, along shaft centerline, including bells. The Engineer will compute length
994 between plan top of shaft elevation to plan estimated tip elevation.
995

996 (H) The Engineer will measure unclassified extra depth excavation per
997 linear foot, along shaft centerline. The Engineer will compute length between
998 plan estimated shaft tip elevation and final authorized and accepted shaft
999 bottom elevation.

1000
1001 (I) The Engineer will measure drilled shaft sidewall overreaming per
1002 linear foot, between plan elevation limits or as authorized by the Engineer.
1003

1004 (J) The Engineer will measure trial shaft holes per linear foot. The
1005 Engineer will compute length between existing ground surface elevation at
1006 trial shaft hole center, before drilling, and authorized bottom elevation of hole,
1007 including bells.
1008

1009 (K) The Engineer will measure coring samples (shaft excavation) per
1010 linear foot. The Engineer will compute length between bottom of shaft
1011 elevation and bottom of exploration hole, for each authorized exploration
1012 drilled below shaft excavation.
1013

1014 (L) The Engineer will measure permanent casing per linear foot, along
1015 casing. The Engineer will compute length between top of shaft elevation or
1016 top of casing, whichever is lower, and bottom of casing, at each shaft location
1017 where permanent casing is used.
1018

1019 **511.05 Payment.** The Engineer will pay for the accepted pay items listed below
1020 at the contract price per pay unit, as shown in the proposal schedule. Payment will
1021 be full compensation for the work prescribed in this section and the contract
1022 documents.
1023

1024 The Engineer will pay for each of the following pay items when included in the
1025 proposal schedule:
1026

Pay Item	Pay Unit
1027	
1028	
1029 Furnishing Drilled Shaft Drilling Equipment	Lump Sum

1030
1031 The Engineer will pay for:

1032
1033 (A) 60 percent of the contract bid price when drilling equipment is on job
1034 site, assembled, and ready to drill foundation shafts.
1035

1036 (B) 40 percent of the contract bid price upon completion of drilling shafts,
1037 and placing shaft concrete up to top of shafts.
1038

1039 Furnishing Instrumentation and Collecting Data	Lump Sum
-----------------------------------------------------	----------

1040		
1041	Obstruction	Hour
1042		
1043	The Engineer will pay for:	
1044		
1045	(A) 80 percent of the contract bid price upon completion of removing the obstruction.	
1046		
1047		
1048	(B) 20 percent of the contract bid price upon removing and disposing of the obstruction.	
1049		
1050		
1051	The maximum payment per designated obstruction shall not exceed	
1052	20 times the unit cost for standard excavation or unclassified excavation	
1053	whichever is less.	
1054		
1055	Load Test	Each
1056		
1057	The Engineer will pay for:	
1058		
1059	(A) 100 percent of the contract bid price upon completion of testing the load and other related costs to performance of load test.	
1060		
1061		
1062	Drilled Shaft	Linear Foot
1063		
1064	The Engineer will pay for:	
1065		
1066	(A) 60 percent of the contract bid price upon completion of drilling.	
1067		
1068	(B) 15 percent of the contract bid price upon completion of furnishing, assembling, and placing steel cage.	
1069		
1070		
1071	(C) 15 percent of the contract bid price upon completion of furnishing and placing concrete.	
1072		
1073		
1074	(D) 10 percent of the contract bid price upon completion of removing and disposing of excavated material.	
1075		
1076		
1077	Standard Excavation	Linear Foot
1078		
1079	The Engineer will pay for:	
1080		
1081	(A) 80 percent of the contract bid price upon completion of excavating for drilled shaft by using conventional tools include augers fitted with soil or rock teeth, drilling buckets, and overreaming (belling buckets) attached to drilling equipment.	
1082		
1083		
1084		
1085		
1086	(B) 20 percent of the contract bid price upon completion of removing and disposing of excavated material.	
1087		

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1088		
1089	Special Excavation	Linear Foot
1090		
1091	The Engineer will pay for:	
1092		
1093	(A) 80 percent of the contract bid price upon completion of excavating by	
1094	using special tools or other acceptable procedures to advance the hole.	
1095		
1096	(B) 20 percent of the contract bid price upon completion of removing and	
1097	disposing of excavated material.	
1098		
1099	Unclassified Shaft Excavation	Linear Foot
1100		
1101	The Engineer will pay for:	
1102		
1103	(A) 60 percent of the contract bid price upon completion of using slurry,	
1104	using drilling equipment, blasting, using special tools and drilling equipment	
1105	to excavate shaft	
1106		
1107	(B) 20 percent of the contract bid price upon completion of furnishing and	
1108	installing temporary casing	
1109		
1110	(C) 20 percent of the contract bid price upon completion of removing and	
1111	disposing of excavated material.	
1112		
1113	Unclassified Extra Depth Excavation	Linear Foot
1114		
1115	The Engineer will pay for:	
1116		
1117	(A) 80 percent of the contract bid price upon completion of excavating	
1118	below bottom of shaft elevations including permanent casing.	
1119		
1120	(B) 20 percent of the contract bid price upon completion of removing and	
1121	disposing of excavated material.	
1122		
1123	Only when authorized by the Engineer, the Engineer will pay for the	
1124	accepted unclassified extra depth excavation at 150 percent of the contract	
1125	unit price per linear foot of the diameter specified.	
1126		
1127	Drilled Shaft Sidewall Overreaming	Linear Foot
1128		
1129	The Engineer will pay for:	
1130		
1131	(A) 80 percent of the contract bid price upon completion of overreaming	
1132	sidewall drilled shaft.	
1133		
1134	(B) 20 percent of the contract bid price upon completion of removing and	
1135	disposing of excavated material.	

1136
 1137 Trial Shaft Holes Linear Foot
 1138

1139 The Engineer will pay for:
 1140

1141 **(A)** 60 percent of the contract bid price upon completion of excavating trial
 1142 shaft holes through to bottom of shaft elevation or as authorized by the
 1143 Engineer (using mineral slurry as necessary) and providing inspection
 1144 facilities.

1145
 1146 **(B)** 20 percent of the contract bid price upon completion of backfilling hole.
 1147

1148 **(C)** 20 percent of the contract bid price upon completion of restoring the
 1149 site.
 1150

1151 The Engineer will not pay for trial shaft holes that the Contractor failed
 1152 to demonstrate to the Engineer the adequacy of its proposed methods and
 1153 equipment.
 1154

1155 Coring Samples (Shaft Excavation) Linear Foot
 1156

1157 The Engineer will pay for:
 1158

1159 **(A)** 70 percent of the contract bid price upon completion of soil sampling
 1160 and rock coring.
 1161

1162 **(B)** 20 percent of the contract bid price upon completion of filling cored
 1163 holes with non-shrink grout of the same minimum strength as drilled shaft.
 1164

1165 **(C)** 10 percent of the contract bid price upon completion of packaging and
 1166 classifying samples or cores and delivering them to the Engineer
 1167

1168 Permanent Casing Linear Foot
 1169

1170 The Engineer will pay for:
 1171

1172 **(1)** 100 percent of the contract bid price upon completion of furnishing and
 1173 installing permanent casings.
 1174

1175

1176

END OF SECTION 511